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ФИО: Ястребов Олег Александрович  
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**Federal State Autonomous Educational Institution for Higher Education  
PEOPLES' FRIENDSHIP UNIVERSITY OF RUSSIA NAMED AFTER  
PATRICE LUMUMBA  
(RUDN University)**

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LAW INSTITUTE

Educational Division

COURSE SYLLABUS

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Public Banking Law

(Course title)

**Recommended by the Didactic Council for the Education Field**

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40.04.01 Jurisprudence / Юриспруденция

(Code and Name of the Field of Education / Specialty)

**Course is Taught as Part of the Educational Program of Higher Education**

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Lawyer in the field of financial activity

(name (profile/specialisation))

**2023**

## 1. COURSE GOAL

The aim of the course is:

- Consider the public law aspects of banking activities, the functioning of the banking system and the payment system, modern approaches to regulating the activities of the Bank of Russia and implementations of a single state monetary policy.
- Study the practice of banking supervision and banking risk management.
- Consider the issues of the public enforcement measures used in the banking sector and application of administrative and criminal liability for violations of bank legislation.

## 2. LEARNING OUTCOMES

The course is aimed at building and enhancing the following target competencies (parts of competencies):

*Table 2.1. List of target competencies (parts of competencies)*

Шифр Code (GC, GPC, PC)	Компетенция Competence	Индикаторы достижения компетенции (в рамках данной дисциплины) Competence indicators
GPC-4.	Can interpret legal norms in a professional way.	GPC-4.1. Understands the nature and significance of legal norms and their interpretation in the legal profession;
		GPC-4.2. Has mastered the skills to apply various methods of interpreting laws, identify conflicts of laws and gaps in legal regulation;
		GPC-4.3. Masters the skills of presenting the results of legal interpretation and using them in implementation of law.
PC-2	Can apply legal norms in specific legal areas and use them in the way prescribed by law	PC-2.2. Has mastered the skills of analyzing the facts of the case, qualifying the facts of law and legal relations that arise due to them, identifies legally significant circumstances;
		PC-2.3. Carries out the correct choice of the legal norm to be applied and the method of its interpretation;
PC-3	Can engage in law enforcement, is capable of having the functions and authority to ensure security, law and order, to protect human and civil rights and freedoms	PC-3.1. Has mastered the methods of detection, prevention, disclosure and investigation of crimes and other offenses;
		PC-3.2. Has mastered the skills of categorizing crimes and other offenses;
PC-4	Can provide legal assistance to citizens and organizations and other types of legal services, to provide qualified professional opinions and advice in specific legal areas	PC-4.1. Has mastered communication skills used with citizens and organizations to identify and formulate a legal problem as well as establish legally significant circumstances;
		PC-4.2. Identifies the methods of solving specific legal issues provided by law, based on the obtained analytical data, assesses their risks, advantages and disadvantages in a correct way, and develops a plan for their implementation;
PC-5	Is able to apply the comparative legal method and use the knowledge of foreign legal systems in practice.	PC-5.1. Knows the specifics of the comparative legal method and the features of its use in legal practice;

## 3. COURSE IN ACADEMIC PROGRAMME STRUCTURE

The course belongs to Block 1 of the curriculum.

As part of the curriculum, students also study other courses and/or internships that contribute to the achievement of expected learning outcomes.

Table 3.1. The list of components of the Educational Program of Higher Education that contribute to the achievement of the expected results of the development of the course

Шифр Code Code (GC, GPC, PC)	Наименование компетенции Competence	Предшествующие дисциплины/модули, практики* Previous courses/internships*	Последующие дисциплины/модули, практики* Subsequent courses/internships*
GPC-4.	Can interpret legal norms in a professional way.	Актуальные проблемы административного и финансового права	Административные процедуры Финансовый мониторинг в сфере выпуска и обращения цифровых финансовых активов
PC-2	Can apply legal norms in specific legal areas and use them in the way prescribed by law	Сравнительное правоведение	Научно-исследовательская работа
PC-3	Can engage in law enforcement, is capable of having the functions and authority to ensure security, law and order, to protect human and civil rights and freedoms	Актуальные проблемы административного и финансового права	Актуальные проблемы регулирования финансового рынка
PC-4	Can provide legal assistance to citizens and organizations and other types of legal services, to provide qualified professional opinions and advice in specific legal areas	Актуальные проблемы административного и финансового права	Актуальные проблемы регулирования финансового рынка
PC-5	Is able to apply the comparative legal method and use the knowledge of foreign legal systems in practice.	Актуальные проблемы административного и финансового права	Производственная практика, в т.ч. преддипломная

\* - filled in based on the competency matrix

#### 4. COURSE WORKLOAD AND ACADEMIC ACTIVITIES

Course workload is 3 credits (108 academic hours)

Table 4.1. Types of academic activities for **full-time** education

Types of academic activities	TOTAL, academic hours	Semester / Module			
		1	2	3	4
<i>Classroom learning, academic hours</i>	32		32		
including:					
Lectures (LC)					
Lab work (LW)					
Seminars (workshops/tutorials) (S)	32		32		
<i>Self-study (ies), academic hours</i>	49		49		
<i>Evaluation and assessment (exam or pass/fail grading)</i>	27		27		
<b>Course Workload</b>	academic hours	<b>108</b>		<b>108</b>	
	credits	<b>3</b>		<b>3</b>	

## 5. COURSE UNITS AND CONTENTS

*Table 5.1. The content of the course and types of academic activities*

Course Units / Sections	Topics	Type of academic activity*
Section 1. The concept of Public Banking Law	Topic 1.1. The concept and sources of Public Banking Law	S
	Topic 1.2. Subject of Banking Activities	S
	Topic 1.3. The Banking System of the Russian Federation	S
	Topic 1.4. Banking legal relations	S
	Topic 1.5. Credit Institutions: Banks and Non-bank Credit Institutions	S
Section 2. Legal Status of the Central Bank of the Russian Federation (Bank of Russia) and Single State Monetary Policy	Topic 2.1. Legal Status and Functions of the Bank of Russia	S
	Topic 2.2. Legal and regulatory framework of a Single State Monetary Policy	S
	Topic 2.3. Banking operations of the Bank of Russia	S
	Topic 2.4. Legal regulation of monetary policy instruments	S
Section 3. Legal regulation of Banking Supervision	Topic 3.1. The concept and core principles of Banking Supervision	S
	Topic 3.2. The forms of Banking Supervision	S
	Topic 3.3. Supervision over the activities of problem Credit Institutions	S
	Topic 3.4. Legal and regulatory framework of inspections of Credit Institutions	S
Section 4. Legal regulation of Banking Risks and Internal Control in Credit Institutions	Topic 4.1. The concept and types of Banking Risks	S
	Topic 4.2. Legal Basis for Banking Risks Management	S
	Topic 4.3. Internal Control in Credit Institutions	S
	Topic 4.4. The concept of Risk-based Banking Supervision	S
	Topic 4.5. Features of managing Non-financial Banking Risks	S
Section 5. Public Enforcement in the Banking Sector	Topic 5.1 The concept of Public Enforcement. Types of the Public Enforcement measures used in the Banking Sector	S
	Topic 5.2. Methods of legal impact on Credit Institutions	S
	Topic 5.3. Grounds and consequences for the Revocation of a Credit Institution's Banking Licence	S
Section 6. Compulsory liquidation and bankruptcy of Credit Institutions	Topic 6.1. Compulsory liquidation of Credit Institutions	S
	Topic 6.2. Legal regulation of insolvency (bankruptcy) of Credit Institutions	S
	Topic 6.3. Bankruptcy Prevention Measures for Credit Institutions	S
	Topic 6.4. Subsidiary liability of Controlling Credit Institutions Persons	S
Section 7. Legal regulation of Payment System and Settlements	Topic 7.1. Legal regulation of Payment System and Settlements.	S
	Topic 7.2. The concept and types of Payment Systems. Payment transaction.	S
Section 8. Deposit Insurance System and	Topic 8.1. Legal basis for Deposit Insurance and defense of the rights of consumers of financial services	S

Course Units / Sections	Topics	Type of academic activity*
defense of the rights of consumers of financial services	Topic 8.2. Legal protection of clients of Banks and other Financial Institutions	S
Section 9. Legal liability for breaching of the Banking Law	Topic 9.1. Legal liability for infraction of the Banking Law	S
	Topic 9.2. Types of infractions of the Banking Law	S
	Topic 9.3. Administrative and criminal liability for offenses in the Banking Sector	S

\* - filled in **only for full-time** education: LC - lectures; LW - laboratory work; S - seminars.

## 6. CLASSROOM EQUIPMENT AND TECHNOLOGY SUPPORT REQUIREMENTS

*Table 6.1. Classroom Equipment and Technology Support Requirements*

Classroom for Academic Activity Type	Classroom Equipment	Specialized hardware and software (if necessary)
Lecture	Classroom for lectures, equipped with a set of specialized furniture; a set of devices including portable multimedia projector, laptop, projection screen, stable wireless Internet connection.	Multimedia projector, laptop, projection screen, stable wireless Internet connection. Software: Office 365 (MS Office, MS Teams), Chrome
Lab	Classroom for lab work, group and individual consultations, evaluation and assessment, equipped with a set of specialized furniture; a set of devices including portable multimedia projector, laptop, projection screen, stable wireless Internet connection.	Multimedia projector, laptop, projection screen, stable wireless Internet connection. Software: Office 365 (MS Office, MS Teams), Chrome
Seminars	Classroom for seminars, group and individual consultations, evaluation and assessment, equipped with a set of specialized furniture; a set of devices including portable multimedia projector, laptop, projection screen, stable wireless Internet connection.	Multimedia projector, laptop, projection screen, stable wireless Internet connection. Software: Office 365 (MS Office, MS Teams), Chrome
Computer classroom	Computer classroom for academic activity, group and individual consultations, evaluation and assessment, equipped with a set of specialized furniture; a set of devices including portable multimedia projector, 30 personal computers, projection screen, stable wireless Internet connection.	Multimedia projector, laptop, projection screen, stable wireless Internet connection. Software: Office 365 (MS Office, MS Teams), Chrome
Self-studies Classroom	Classroom for Self-studies, equipped with a set of specialized furniture; a set of devices including portable multimedia projector, laptop, projection screen, stable wireless Internet connection.	Multimedia projector, laptop, projection screen, stable wireless Internet connection. Software: Office 365 (MS Office, MS Teams), Chrome
Courtroom	Classroom for court hearing simulation	Multimedia projector,

Classroom for Academic Activity Type	Classroom Equipment	Specialized hardware and software (if necessary)
	equipped with a set of specialized furniture; a set of devices including portable multimedia projector, laptop, projection screen, stable wireless Internet connection.	laptop, projection screen, stable wireless Internet connection. Software: Office 365 (MS Office, MS Teams), Chrome

\* - It is necessary to specify a classroom for self-study of students

## 7. RECOMMENDED SOURCES FOR COURSE STUDIES

### *Main reading (sources):*

1. Rozhdestvenskaya T.E., Guznov A.G. Public Banking Law: tutorial for graduate programs. M.: Prospekt, 2016. 448 p.
2. Foreign Banking Law (Banking Law of the European Union, France, Switzerland, Germany, the United States, China, the United Kingdom): monograph / managing editor L.G. Yefimova. – Moscow: Prospekt, 20218. - 656 p.

### *Additional (optional) reading (sources):*

1. Barth J.R., Li T., Lu W. Bank Regulation in the United States // CESifo Economic Studies. 2009. doi: 10.1093/cesifo/ifp026.
2. Cranston R., Principles of banking law. 2<sup>nd</sup> ed. Oxford University Press, 2022.
3. Pollard A.M., Daly J.P. Banking law in the United States, Vol. I. 2011.

### *Internet-(based) sources:*

1. Electronic libraries with access for RUDN students
  - RUDN Electronic library system <http://lib.rudn.ru/MegaPro/Web>
  - Electronic library system «University Library online» <http://www.biblioclub.ru>
  - Electronic Library «URAIT» <http://www.biblio-online.ru>
  - Electronic library system «Student. Consultant» [www.studentlibrary.ru](http://www.studentlibrary.ru)
  - Electronic library system «Lan» <http://e.lanbook.com/>
  - Electronic library system "Troitskyi most"
2. Databases and search engines:
  - Electronic Legal and Regulatory Documentation Fund <http://docs.cntd.ru/>
  - Search system Yandex <https://www.yandex.ru/>
  - Search system Google <https://www.google.ru/>
  - SCOPUS <http://www.elsevierscience.ru/products/scopus/>
3. Official websites of public authorities:
  - Bank of England <http://bankofengland.co.uk>
  - Banque de France <http://banque-france.fr>
  - Bank of Russia <http://cbr.ru/eng/>
  - Deutsche Bundesbank <http://bundesbank.de>
  - European Central Bank <http://ecb.europa.eu>
  - Financial Conduct Authority <http://fca.org.uk>
  - Federal Reserve Board <http://federalreserve.gov>
  - Federal Deposit Insurance Corporation <http://fdic.gov>

- Swiss National Bank <http://shb.ch/en/>

\* - Learning toolkits for self-studies in the RUDN Learning materials for self-studies on the relevant course pages in TUIS

## 8. ASSESSMENT AND EVALUATION TOOLKIT

Mid-Term Assessment and Evaluation Toolkit, Assessment and Grading Criteria are presented in the Appendix to this Course Syllabus

### DEVELOPERS:

Senior Lecturer of the Department  
of Administrative and Financial  
Law

Maria Ye. Zhukova

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Position, Name of the Department

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Signature

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Full name

### HEAD OF THE DEPARTMENT

Head of the Department of  
Administrative and Financial  
Law, Full Professor

Oleg A. Yastrebov

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Position, Name of the Department

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Signature

---

Full name

### HEAD OF THE HIGHER EDUCATION PROGRAM

M.M. Proshunin

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Position, Name of the Department

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Signature

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Full name